



UCF Board of Trustees

Audit and Compliance Committee

December 5, 2024

Agenda Item

DISC-4: University Compliance, Ethics, and Risk Update

Proposed Action

This information is being presented for discussion purposes only.

Authority for Board of Trustees Action

UCF Audit and Compliance Committee Charter

Board of Governors Regulation 4.003 State University System Compliance and Ethics Programs.

Supporting Documentation Included

Attachment A: University Compliance, Ethics, and Risk Update

Attachment B: Severity Index

Facilitators/Presenters

Rhonda L. Bishop, Vice President for Compliance, Ethics, and Risk

Summary of Key Observations/Recommendations

The update will focus on the following:

- Compliance and Ethics Week
 - Tenth Annual Compliance and Ethics Week Awareness Campaign
 - November 4-8, 2024
- Update to the Department of Justice's Evaluation of Compliance Programs
- Emerging Issues and Crisis Response Team (EICRT)
 - Formation Approved by the Board of Trustees in February 2020
 - Cross functional team responsible for emerging issue and crisis response
 - Provides recommendations to the president

Additional Background

The post investigation action plan approved by the Board of Trustees on July 18, 2019, included a recommendation regarding crisis leadership. The recommendation was assigned to the UCF Board of Trustees Executive Committee for implementation oversight. At the August 7, 2019, meeting, the Executive Committee unanimously approved the creation of a Task Force on Crisis Management to be chaired by Trustee John Lord. Trustee John Lord's appointment ended in December 2019 and Trustee John Sprouls was appointed as committee Chair. The Crisis Response Management Task Force was charged with making recommendations to the UCF Board of Trustees regarding Board / administration interaction as a result of and during a crisis. The Crisis Response Management Task Force was staffed by Dr. Larry Barton, crisis management expert, Rhonda Bishop, vice president for compliance, ethics, and risk, and Andrea Gandy, director of enterprise risk and insurance management.

Task Force Objectives:

1. Identify different types of crises which could impact the university and / or surrounding community
2. Outline UCF's current response processes and capabilities for different types of crises
3. Define a severity index recommendation for Chair and Board notification and communication
4. Identify opportunities to strengthen and / or expand response capabilities

Implementation Plan

Materials are provided for discussion only.

Resource Considerations

N/A